

10-05-01

PATENT  
USA.286

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Newell et al.

) Attorney Docket No.: USA.282

Title: POST PROCESSING THREE-  
DIMENSIONAL OBJECTS  
FORMED BY SELECTIVE  
DEPOSITION MODELING

) Express Mail Label No.: EI762055745US

) Customer No.: 022514

Date Filed: October 3, 2001

)

**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Certificate Under 37 CFR §1.10

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Assistant Commissioner for Patents  
Washington D.C. 20231, on

October 3, 2001

Date of Deposit

Carolyn Moore

Name

Signature

**UTILITY PATENT APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventors: Kenneth J. Newell,  
Stephen A. Ruatta, and John S. Stockwell.

**APPLICATION ELEMENTS**

1. Fee Transmittal Form.
2. Type of Application.

This new application is for a(n) (check one applicable item below):

☒ Original

☐ Divisional

☐ Continuation

☐ Continuation-in-part (CIP) of Prior Application No.:  
Examiner: Group Art Unit:

**3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b).**

- ☒ Pages of Specification                      Total Pages:     33
- ☒ Drawings - Formal                      Total Sheets:     1
- ☒ Oath or Declaration and Power of Attorney for  
Patent Application
- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d))

**4. Additional Papers Enclosed.**

- ☒ Assignment Papers (cover sheet & document(s))
- ☒ Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations
- ☒ Return Receipt Postcard (MPEP 503)

**5. Inventorship.**

The inventorship names and addresses for all the claims in this application are:

Kenneth J. Newell  
25876 The Old Road #33  
Valencia, CA 91381

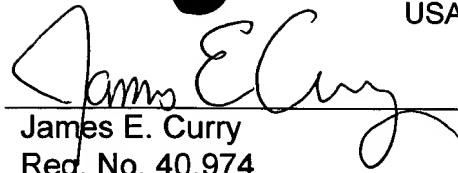
Stephen A. Ruatta  
1501 Marengo Avenue  
South Pasadena, CA 91030

John S. Stockwell  
13286 Dyer Street  
Sylmar, CA 91342

**6. Language.**

- ☒ English

Dated: October 3, 2001

  
James E. Curry  
Reg. No. 40,974  
Attorney for Applicants

3D Systems, Inc.  
26081 Avenue Hall  
Valencia, CA 91355  
(616) 295-5600, ext. 2470

☒ Statement Where No Further Pages Added.

☒ This Transmittal Ends With This Page.

2001-10-03 14:03:43

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	October 3, 2001
First Named Inventor	Kenneth J. Newell
Examiner Name	
Group Art Unit	
Attorney Docket No.	USA.286

TOTAL AMOUNT OF PAYMENT **\$1,158.00**

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	20-0900
Deposit Account Name	3D Systems, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					740.00

#### 2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	<div>41</div> -20** = <div>21</div>	X <div>18.00</div>	= <div>378.00</div>
Independent Claims	<div>3</div> -3** = <div>0</div>	X <div>84.00</div>	= <div>0.00</div>
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **\$378.00**

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

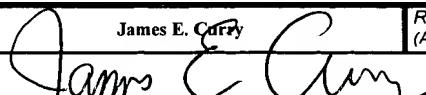
#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$40.00**

### SUBMITTED BY

Name	James E. Curry	Registration No. (Attorney/Agent)	40,974	Telephone	661-295-5600, ext. 2470
Signature		Date	October 3, 2001		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.